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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/803,131	03/09/2001	David M. Mayes	TXY-001.01

CONFIRMATION NO. 8948

 025181  
 FOLEY, HOAG & ELIOT, LLP  
 PATENT GROUP  
 ONE POST OFFICE SQUARE  
 BOSTON, MA 02109

COPY

FORMALITIES LETTER



\*OC000000006101642\*

Date Mailed: 05/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

*A copy of this notice **MUST** be returned with the reply.*

*Sachis*

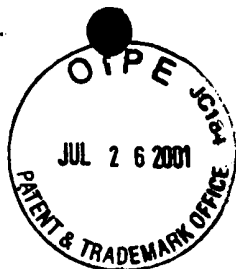
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



**FOLEY  
HOAG**  
ATTORNEYS AT LAW



David A. Lane, Jr.  
Boston Office  
617.832.1197  
dlane@foleyhoag.com

July 23, 2001

**COPY**

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Washington, D.C. 20231

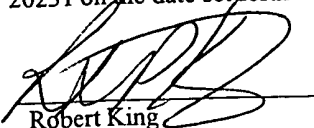
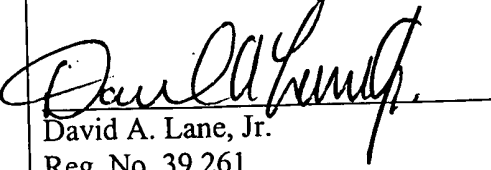
Re: U.S. Patent Application Serial No. 09/803,131  
Entitled: *Optical Probes and Methods for Spectral Analysis*  
Filed: March 9, 2001  
By: David M. Mayes, et al.  
Our Ref: TXY-001.01

Dear Sir:

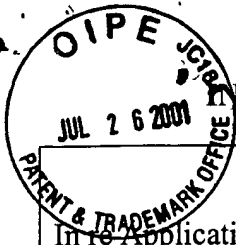
Enclosed for filing in the above-referenced patent application please find the following:

1. Response to Notice to Correct Informalities (in duplicate);
2. Replacement Drawings (Figures 1 - 13, 10 sheets);
3. copy of Notice to File Missing Parts of Nonprovisional Application; and
4. Return Receipt Postcard.

Please charge any necessary fees, or credit any overpayments, to our Deposit Account No. 06-1448. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

<p align="center">Certificate of First Class Mailing</p> <p>I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Box: Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date set forth below:</p> <p><u>July 23, 2001</u> Date</p> <p> Robert King</p>	<p>Respectfully submitted, FOLEY, HOAG &amp; ELIOT, LLP</p> <p> David A. Lane, Jr. Reg. No. 39,261 Attorney for Applicants Customer ID No. 25181</p>
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20/465547.1



THE UNITED STATES PATENT AND T

In re Application of: David M. Mayes, et al.

Application No: 09/803,131

Filed: March 9, 2001

For: *Optical Probes and Methods for  
Spectral Analysis*

Group Art Unit: 1651

Examiner: Not Assigned

Atty. Docket: TXY-001.01

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that the foregoing document is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Box: Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this date of July 23, 2001.

  
Robert King

RESPONSE TO NOTICE TO CORRECT INFORMALITIES

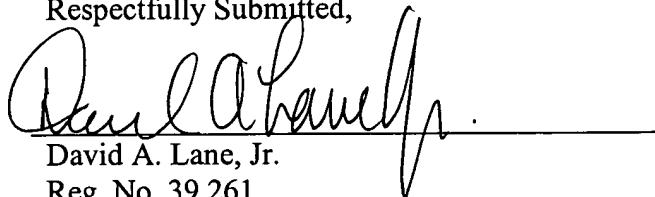
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Commissioner for Patents  
Washington, DC 20231

Sir:

In response to Notice to File Missing Parts mailed May 22, 2001, enclosed are the drawings (Figs. 1-13) which are in compliance with 37 CFR 1.84 for the above application. Also enclosed is a copy of the Notice to File Missing Parts of Nonprovisional Application.

Although we believe that we have submitted the correct amount to cover the above-listed items, the Commissioner is authorized to credit any overpayment or charge any deficiencies to our Deposit Account No. 06-1448.

Respectfully Submitted,

  
David A. Lane, Jr.  
Reg. No. 39,261  
Attorney for Applicants

Date: July 23, 2001

**Customer No: 25181**

Patent Group

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